

#### QUALITATIVE RESEARCH Different Perspectives – Emerging Trends

*Uredil / Edited by* Jurij Fikfak

Sourednika / Co-editors: Frane Adam and Detlef Garz

Prevod / Translated by: Authors and Luka Rejec Jezikovni pregled / Language review: Dean DeVos Oblikovanje / Graphic art and design: Milojka Žalik Huzjan Prelom / Layout: Iztok Sajko Izdala in založila / Published by Inštitut za slovensko narodopisje ZRC SAZU, Založba ZRC Institute of Slovenian Ethnology at ZRC SAZU, ZRC Publishing

Zanj / Represented by Monika Kropej, Oto Luthar

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# Q UALITATIVE RESEARCH

## DIFFERENT PERSPECTIVES EMERGING TRENDS

Edited by

Jurij Fikfak Frane Adam Detlef Garz

Ljubljana 2004

## **QUALITATIVE RESEARCH BY THE "GABEK®" METHOD**

## 1. INTRODUCTION

It is one of the central tasks of the social sciences and humanities to show ways and means of creatively processing complex problems in our society. Such problems are generally unstructured and difficult to define. Frequently they are tied to dynamic situations affecting a large number of individuals or groups of individuals. On the other hand, the experiences gained by those affected, e.g. the employees of an institution, contain creative problem solving potential. The GABEK® method (GAnzheitlich BEwältigung von Komplexität – Holistic Processing of Complexity) suggests itself when trying to make systematic use of this potential. GABEK provides a new method, which can be classified as a qualitative research method. GABEK can be used for both a detailed description and explanation of a problem situation as well as for the practical development of aims and measures and their correlation. It is a problem solving procedure that includes the experiences and aims of all participants and individuals affected. GABEK develops its potential as an instrument of orientation and decision making, particularly in complex problem situations. It supports the development of strategic principles and operational measures relating to these. The PC- program WINRELAN® (Win dows Relation Analysis)<sup>1</sup> developed specifically for GABEK applications, also allows the presentation of GABEK results in an interactive way and in accordance with the interests of the audience.

## The example used in the presentation

As an example of the results obtained by GABEK [Zelger 1991; 1994; 1999a; 2000b] I have used the INTAS-project 97-30221 Ethical Conflicts in Medicine: a Cross-Cultural Comparison of Norms, Attitudes, and Subjective Evaluations in Austria, The Netherlands, Georgia and Ukraine<sup>2</sup>.

In order to reduce the size of the database, I will present here only the results of open interviews in *The Netherlands*<sup>3</sup>. In extended open interviews, 20 laymen or patients and 20 experts in medicine were asked about their opinions on medical ethics. The answers of laymen and of experts were evaluated by GABEK-*WinRelan* separately. The texts of our open interviews were first imported from Word into a new *WinRelan*-file. I shall use the *WinRelan*-file with the results obtained from patients as an example.

## Questions and results of a standard GABEK project

In order to provide an overview we have summarized the chief questions and results of a standard GABEK project. The numbers next to the results refer to the chapters of the article. Here I will only describe typical results and some theoretical preconditions. Learning to use the GABEK method with PC-support through *WinRelan* ordinarily takes a training period of from 3 to 5 days.

<sup>&</sup>lt;sup>1</sup> WINRELAN 5 (© 1992 – 2004 J. Zelger, Innsbruck) was developed for GABEK-applications by Josef Schönegger & Josef Zelger, Department of Philosophy, Section Knowledge Organization, University of Innsbruck, Austria

<sup>&</sup>lt;sup>2</sup> The project – paid by INTAS Brussels and the Austrian Ministry of Science encloses a qualitative study by GABEK*WinRelan* and a quantitative one. On the basis of the qualitative Research a quantitative questionnaire was developed. The cooperating team is: Zelger, J., K. Mühlbacher, M. Gustin (A), U. Hentschel (NL), L.F. Burlatchuk, St. Smovzh, E. Krainikow (UA), Kvatskava, N. Sumbadze, K. Dolidze (GE).

<sup>&</sup>lt;sup>3</sup> I thank Prof. Dr. Uwe Hentschel (Department of Psychology of the Leiden University in The Netherlands) for allowing me to use the dutch data in the presentation of GABEK.

Questions	Results are given in the form of	Chapter
How can we reconstruct common concepts used in social groups?	Association graphs	2–3
How can we construct a holistic theory about the contents of the verbal database on various levels of complexity?	Gestalten-tree	4–6
How can we represent cognitive assessments expressed in the verbal data?	Evaluation lists	7
How can we identify causal assumptions of the respondents?	Causal opinion networks	8
Which topics are relevant from the respondents' point of view as values, possible aims or measures?	The order of cognitive relevance	9
How can we represent the emotional load of the verbal data?	Emotional graphs	10
Which groups of verbal data should be analyzed separately?	Statistical frequentness	11
How can different social groups learn from each other?	The simulation of dialogues	12

## 2. THE REPRESENTATION OF VERBAL DATA BY MEANS OF AN INDEXING SYSTEM

In order to construct a meaningful order for the unsorted verbal data we need an indexing system. The development of an efficient indexing system requires two substantial operations: first we must determine what a "text" should be, i.e. the text units must be established. Such units should be meaningful short sections that form a mental unit, like a spoken record or a short spoken sense unit. Secondly, the content-relevant lexical concepts must be identified in each sense unit<sup>4</sup>.

	For example if you can control nature then you will destroy it that's my opinion. It's all, yes genetic manipulation and cloning, and so on and so on. Because people do not have any idea what the result will be and they can use the negative aspects for new inventions.	genetic_manipulati
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<sup>&</sup>lt;sup>4</sup> The relevance of concepts is based on the consideration that a concept is content-relevant if one cannot eliminate it without changing the central meaning of the statement.

Fig. 1: The text unit "A04" with key terms. The text is taken from one of the patient's interviews.

"Text units" or "Sense units" are not to be understood as mere formal units, but as meaningful, coherent thoughts, which represent a comprehensible text containing at least three and at the most nine relevant lexical concepts. (As to the theoretical basis, cf. Zelger [1991; 1994; 1995; 1999a; 1999b; 1999c; 2000b])

The definition of *key concepts* occurring in each text unit is referred to as *object-linguistic coding*. In this way, an indexing system is developed which can be represented as a formal

network of expressions. However, on the PC the *indexing system* 2 appears in the form of a list, which can be arranged alphabetically or according to frequencies. In alphabetical order, the list also serves to eliminate *synonyms and homonyms*.

Edit Expressions - Ethical Conflicts in Medic	ine: L	aymen	ιH											
Overall lists   Reduced list   Synonym lis	t ]													
61 doc-pat-relationship	A06	B05	B06	C05	C07	D01	D02	D03	D05	D06	E04	E05	E08	E0:
40 problem_future	A08	A09	A10	B04	B07	B08	B09	B10	B11	B13	C07	D07	D08	D0!_
35 government	A11	A12	B11	B13	C11	D10	D11	E14	F10	F11	F14	G10	G13	H08
32 genetic_manipulation	A03	A04	A10	A13	B01	B02	B03	B04	B07	B08	B12	D07	D08	D0!
18 interference_with_nature	A03	A04	A10	A13	B04	F09	H01	H02	H06	H07	H10	L02	N02	R0:
17 med_research	A04	B07	B08	D08	E14	F08	F09	F10	F13	F18	H08	J04	L06	MO
17 state_restrictive	C09	C10	C11	D10	D11	E14	E15	F10	H08	105	J05	K07	L09	01
15 treatment	E07	E09	F05	G03	G06	G09	G12	K06	M05	M10	P07	P10	S07	T05
14 information	B06	D05	D06	D11	E11	F04	G06	L06	M10	N09	P08	Q04	R10	T2′
13 medics	A07	E08	F03	F05	G02	G04	G05	G07	G08	O08	009	S01	S07	
12 euthanasia	A02	C10	K02	L01	P01	P02	P03	P05	Q01	T19	T20	T21		
12 wish_to_die	C05	H03	H04	K01	K02	N03	P03	P06	P15	P16	T18	T20		
12 pat_desire	C05	F05	H04	M10	004	P06	Q02	S05	S06	S09	S10	T21		
11 withhold_information	D05	E08	F05	106	J02	L05	M05	M06	P07	P08	R07			
11 medicine_as_science	C06	C07	F10	F11	F12	F15	F17	J04	K05	K07	S01			
11 euthanasia_active	C05	F02	F03	F04	H03	H04	101	K01	N03	P15	P16			
11 not_affected_by_EC	A05	C04	D04	G04	K03	L03	M04	N04	O03	P04	S04			
10 advances_in_medicine	B08	C01	F12	F15	F18	H05	L07	S02	T01	T17				
10 financial_support	E14	E16	F10	F11	G13	H08	009	S02	S13	S14				
10 decision_doc	101	102	K04	M05	M06	M07	S05	S07	S08	S09				
10 cloning	A03	A04	A10	A13	B12	F01	F19	S02	S03	S11				
9 use_of_pat_for_research	D02	D03	H06	H09	L06	M01	M02	T03	T04					
9 ethical_limits	L02	N02	002	R02	R08	S01	S10	T01	T02					
	1402	MOE	MOR	M07	005	C05	000	000	T40					۰ſ
Sentences: 241 Reduction:	R	-1-1			Sort alpi	<b>≜</b> ↓	-ll	r-	າ ntb∨nui	mhor			A <b>JES</b>	
	uzieren	ap I	ļŲ		sonalpi	Tapetica	uiy	50		nber		-vehlaci	e synon	yms
Reduced list   384 Synonyms: 0 Reve	💌 erse red	uction			Lists-	📢 -> Word	k	s	ave Pro	iject			Exit	

*Fig. 2: The indexing system as a list of key expressions represented in order of frequencies. The alphanumerical expressions on the right side are the names of text units, which can be read by double clicking on them (see figure 1).* 

The indexing system enables the user *to find all the text units within the verbal database* in which the term occurs for any given concept. It permits the user to identify for any selected text unit all further texts that are in any way connected to the given text, so that they can be understood as content supplementations to the selected text.

Such an indexing system will allow different forms of (graphical) representation of linguistic knowledge, all of which can be used for interactive inquiries on the PC and can be exported into Word for Windows.

#### 3. THE REPRESENTATION OF ASSOCIATION GRAPHS, MINDMAPS AND CONCEPTUAL

STRUCTURES

According to Ludwig Wittgenstein, the meaning of a term is given by its use in a language game in a concrete life world. Therefore, the meaning of important terms that emerge in the course of discussions can be reconstructed on the basis of all interview texts in which the term was used. They are exactly the ones which are always invariably or logically associated with the definiendum. This means that everyone who understands the language and uses the concept correctly also links the appropriate terms of the definients with the definiendum - even if this does not always happen consciously or explicitly.

### The drawing of association graphs

To reconstruct the meaning of a term in the context of our verbal data base we open the

linguistic network SS. The expression list appears. In this list we mark an expression which is used frequently in the verbal data. Let us select *problem\_future*. For concepts we use definiens which are very often connected with the definiendum in our verbal data base, since the concepts almost always connected with the selected term in many different texts are relevant for the meaning of the latter. So we receive the following graph:



Fig. 3: The association graph for "problem\_future" in the context of the patients' interviews. Only those concepts are shown which are related to "problem\_future" in at least 4 text units. The text units are indicated in the middle of the connecting lines. The texts can be read on the PC by clicking on any line connecting the terms in the graph.

In the graph of figure 3 which was produced automatically from all texts of Dutch patients with the explicit content of "problems future" we can see that the most usual associations (at least 4 denominations) claim that (ethical) problems in the future will be connected with *genetic manipulation, medical research* and *advances in medicine*, with *life prolongation* and with the conviction that these will *interfere with nature*.

## Extending the association graph to a mindmap

The association graph represents conceptual associations of a frequently occurring term selected by the analyst. It can be extended by taking specific terms as the center of a new network of associations (e.g. *interference\_with\_nature, genetic\_manipulation, medical\_research*) in figure 4) In this way "mindmaps" of social organizations can be constructed on the basis of the verbal data.



*Fig. 4: Mindmap of the concept* problem\_future. *The relations are confirmed by at least 4 text units. It shows the most usual associations with it.* 

## Comparing association graphs of different groups

Using different verbal data it is possible to compare the linguistic use of terms by different groups in order to determine possible common features and divergences. So one can even learn about the linguistic use of terms in everyday language discussions, i.e. the use of central terms in relation to the situation and the social organization.

## Learning concepts

The more verbal data we include in the analysis, the more stable will the association graph be and the better it will represent a general *quasi concept* of the selected starting term.

## 4. CLUSTERS OF SENTENCES

Sentences can be seen as sets of expressions separated by various distances. Two sentences are considered closely related if they have some expressions in common. They are separated if they have no common nodal concepts.

To find out the connections between the sentences we use the *lexical concepts* of our indexing system (cf. figures 1 and 2) After coding all the verbal data, we can produce text groups with some common expressions by a cluster analysis. WinRelan provides different methods of cluster analysis For details, see Zelger [1996].

	Anz. Grouping results after 195 steps	Sentence	e selection
ungr. Gr001	3 B02 B03 S12		
3r001 3r002	38 A06 E17 G02 G07 G05 G11 E09 E10 G03 G12 G06 D01 R06 T12 E05 O05 T14 P09 Q05 R05 O06 T15 T	C Use origina	d list
Gr002	5 H09 M01 T03 M02 M03	Reduced list	st
Gr003	21 C11 D10 J05 I05 O10 Q07 K07 D11 F11 O09 G10 T22 G13 N08 L09 L10 P12 P13 R11 S16 N09	· .	•
Gr005	7 H03 K01 P15 P16 N03 I01 P06	reduce aswe	
Gr006	3 H05 P10 H06	1 ↓ Mir	n.num.
Gr007	3 T05 T24 T23		nimum overall
Gr008	6 C06 C08 S01 F17 F12 F15		mber of relations
Gr009	7 E01 E02 G01 ND6 I04 P11 L11		
Gr010	3 F08 F13 F18		
Gr011	4 A11 A12 F14 P14	Senter	nces: 241
Gr012	2 C07 G09	of which	used: 241
Gr013	4 F02 F03 F04 Q04	OI WINCH	useu. j Eni
Gr014	4 C01 C02 C03 F16		
Gr015	6 E14 S13 S14 F10 J04 H08	Distance	e grouping
Gr016	15 A08 A09 N07 I03 L08 B09 B10 R09 F07 L04 M08 M09 L07 T17 O07	Method	s gi suping
Gr017	9 A03 A13 B12 A04 H07 R02 R03 A10 B04		
Gr018	4 B01 R01 E16 J01	( D <sup>2</sup>	
Gr019	5 F05 J02 L05 P07 T13	(D <sup>2</sup> ) <sup>2</sup>	
Gr020	11 B07 B08 D08 D07 D09 R08 E13 F09 J03 K05 S11	C D²(V↔0	)
Gr021	5 C05 H04 Q02 O04 S06		
Gr022	6 TO6 TO9 T10 T11 TO8 TO7	Include Eval	uations
Gr023	2 A01 R10	155	193
Gr024	9 A02 L01 K02 T20 P01 Q01 P05 P02 P03	Table of rel.	Distance matrix
Gr025	4 F01 F19 S03 S02	Table of rel.	Distance mainx
Gr026	9 102 K04 S07 S05 S09 S08 M05 M06 M07	•	
Gr027	4 H01 H02 H10 J06	Start	Stop
Gr028	3 E06 E07 N01		
Gr029	3 C09 E15 C10	Final grouping	
Gr030	2 G08 O08	step	by 195 🔸
Gr031	2 T19 T21	1	4
Gr032	4 LO2 NO2 TO1 TO2	1	<b>D</b>
<sup>c</sup> m <sup>-</sup>		Lists-> Word	Exit

## Fig. 5: Text groups built automatically by cluster analysis

#### Example of a cluster

The following matrix of key expressions shows the cluster G006 of the three statements H05, P10 and H06 by patients.

	3	2	2	1	1
H05	problem_future	restore_to_health	disease_genetic	prenatal_diagnosis	embryonic_research
P10	problem_future		disease_genetic	secondary_prevention	treatment_early
H06	problem_future	restore_to_health		use_of_pat_for_research	drugs

	1	1	1	1	1
H05	advances_in_medicine	embryo			
P10	Treatment	increase_in_eth_problems	predict	cancer_pat	genetic_engineering
H06	interference_with_nature				

In the cluster G006 the statements Ho5, P10, H06 are connected to a sentence group of three sentences by the three nodal terms

problem\_future restore\_to\_health disease\_genetic

**H06** [Ethical problem in the future] It comes back really to a point mentioned earlier. Umm, I think that experimenting with people that could in fact lead to medicines or the cure for an embryo or something like that, that should not be permitted because I am simply of the opinion that that may not be interfered with.

#### H05

Now, what I really already mentioned at the beginning, medical science will progress [in the future] so far that we eventually will be able to see in the embryo what sort of medical defects there are indeed just by looking at the DNA structure of the unborn baby and that you eventually, maybe through simple medical procedures, illnesses can be diagnosed and cured. **P10** 

[I foresee the ethical problems in the future will increase.] Well, I think increase because before, if someone was sick well, uh, you knew after they became sick. Now with the use of genetic and DNA research, or however you call it, illnesses can be predicted earlier and that has maybe good consequences if early treatment can prevent the disease or slow it, but on the other hand, what does it mean for people who learn that they will die of cancer in 20 years or whatever.

Using all the answers of the verbal database we obtain a very complex linguistic net of sentences connected by nodal concepts. In our case the verbal database is provided by 241 answers of 20 Dutch patients. These were coded by 384 key notions. 232 concepts resulted as nodal notions which connect sentences with each other in the data base. By a cluster analysis, 37 clusters of sentences were produced. One of these clusters was represented in the above matrix of expressions. But can we assume such clusters are relevant? Of course this does not have to be the case. The statements within a cluster can contradict each other. Normally they are redundant and very often they do not contain relevant knowledge applicable to a new problem situation.

To continue we have to answer the question of how we can transform clusters of sentences into meaningful groups of statements. Thus we introduce the concept of a *linguistic gestalt*. Each text group should have the form of a linguistic gestalt: we eliminate the redundant statements in the cluster and search in the database for further sentences which are meaningful complements. Of course a large number of conditions must be fulfilled:

#### 5. THE RULES OF LINGUISTIC GESTALT-BUILDING

In order to explain the conditions of linguistic understanding [cf. Zelger 1999a; 2000b] the process of perception is investigated. Our world is perceived not by isolated sense data but through perceptive gestalten [Stumpf 1939; Smith 1988]. Stumpf identifies gestalten as complex relations between sense impressions. We select the relevant sensory information in terms of more or less regular distances between sense data.

Accordingly, verbal data are understood not as isolated concepts but as coherent linguistic complexes, that is, groups of statements forming meaningful wholes. Thus, we can introduce the concept of a *linguistic gestalt* in analogy to the concept of *perceptive gestalten*.

A *linguistic gestalt* is an abstract entity. It presupposes grouping in parts. These parts are statements (i.e. relations between concepts, in our terminology, text units or file cards). The linguistic gestalt can be distinguished from the larger linguistic context through the interrelation of the text units with each other. The linguistic gestalt is seen as a specific meaningful group of text units which fulfils the following criteria:

a) *Formal connectivity*: All text units (sentences) within the group are closely connected to each other, *i.e. each text unit in the group must contain at least three key concepts which also occur in other text units of the same group*.

b) *Formal variety*: The text units (sentences) within the group must be sufficiently distinguishable from each other. Each text unit must contain something new so that it appears as a kind of complement to all other text units in the group. This entails that,

ba) Each text unit in the group must be distinguished from all other text units in the same group. Any pair of text units  $S_1$  and  $S_2$  must contain at least three concepts which are not nodal concepts connecting  $S_1$  and  $S_2$ . As a consequence, any pair of text units containing the same key concepts is not admitted.

bb) The key concepts of one text unit in the group may not be included in the set of the key concepts of another text unit in the group.

c) Formal distance: The group of text units (sentences) should not contain too many text units so that all relations between the text units can be reconstructed as a unit of meaning. The necessary steps to move from each text unit to any other text unit in the group must not exceed a maximum of two steps.

For illustrative purposes, we will show four formal structures which infringe upon the formal rules: In figures 6 to 10 a circle indicates a sentence (text unit) and a rectangle a key concept. Nodal concepts show the connections between text units.

According to the rule of *formal connectivity* (a) structure (6) is forbidden. (7) and (8) are not allowed by the rule of formal variety (ba) and (bb). (9) is excluded by the rule of formal *distance* (c).





#### Fig. 9

The structure in figure 10 fulfils all the formal conditions of a linguistic gestalt.



#### Fig. 10

A linguistic gestalt must furthermore meet semantic and pragmatic conditions:

d) Semantic demonstrability: A group of text units (sentences) is rendered meaningful only if it is possible to demonstrate all relations between the text units intersubjectively. Therefore, an ideal paradigmatic example must exist fulfilling all the conditions assumed. In particular, in communicative learning situations it is necessary to refer to models, examples, and applications in a given modeling facility. As suggested by Pask [1976; 1992], "modeling facility" refers to the material immediately available to the individual with which he can produce observable units, e.g. models which represent all the relations assumed by the text units in the group.

When analyzing texts it is not easy to comply with the above conditions. In particular, the rules of *formal variety* and *formal connectivity* oppose each other. They lead to a kind of equilibrium. The more the sentences are internally differentiated, the more likely that they are not sufficiently internally networked. Furthermore, the closer the network, the more likely it is that the internal differentiation does not suffice. The PC-program *WinRelan* provides interactive methods to select groups of text units from the unsorted verbal database which fulfill the rules for linguistic gestalten.

Below we give an example of a linguistic gestalt.

#### The linguistic gestalt interference\_with\_nature

#### The text group is built by the text units B04, H07, N02, L02, A10 und T01:

#### B04

[I see genetic manipulation as a problem:]Because in this way we are interfering in nature. On one hand, something is developed which nature absolutely never intended, for example the mouse with an ear grown from cells. And on the other hand, you don't know what the long term consequences are and then of course say in the plant industry or plant improvement it's said that yes, we can make the plants resistant to specific weeds, insecticides, certain insects, but what are the consequences of genetic manipulation on the plants in the long term. Certain consequences that we don't recognize now will surely become evident in the future. So once again, on the one hand, the aspect that we are doing things that really nature, as we understand it normally, never intended and on the other hand, the uncertainty about our actions now on the affected organism in the future.

#### Ĥ07

In relation to human beings no [no circumstances under which new discoveries are acceptable], but if it was as we now have indeed with the manipulation of food, look I don't know exactly what the effects are from genetic manipulation of foodstuffs, but I can imagine that you finally develop a certain plant or vegetable that in a Third World country under very dry conditions would grow well and thereby fight the war on hunger. Now in that case I would encourage it, but as soon as it interferes in a fundamental way with a human being, then I say no, not that.

#### N02

[An example of ethical problems in medicine about the beginning of life:] At the beginning of life, how far should we go with technical means to help people fulfill their wish for children. And to what degree do we allow technology to take over for nature.

#### L02

[For example about creating life], there are recently more possibilities for deciding on having children, not only about their sex but also maybe intelligence. I think that if I were a medical worker that would be very problematic for me. Where does it stop you know, where do all these possibilities end, the interference in human life.

#### A10

[example of the problems in the future] and the other thing is what I already said about cloning and genetics. Because it means that you control nature too much, you interfere too much, and in my opinion there is a balance in nature. And if man interferes too much the balance will be destroyed and we don't know what will happen then. The outcome is not clear, so we will destroy ourselves, it does not matter, but...

#### T01

[In my opinion the most important ethical problems in medical science are:] How far can you go, with continuous weighing of how far man can intervene in life. I have the idea that they want to go still further in medicine and that they want to keep it in their hands and I don't really agree with that.

The text units quoted above are interrelated by the following nodal concepts which determine the chief content of the text group:

	6	3	3	3
B04	interference_with_nature	ignorance_of_consequences	genetic_manipulation	
H07	interference_with_nature	ignorance_of_consequences	genetic_manipulation	
N02	interference_with_nature			ethical_limits
L02	interference_with_nature			ethical_limits
A10	interference_with_nature	ignorance_of_consequences	genetic_manipulation	
T01	interference_with_nature			ethical_limits

	2	2	2	2	2
B04		problem_future	food_gen_manip		
H07			food_gen_manip		
N02	medicine_reproductive				children

L02	medicine_reproductive		human_life	children
A10		problem_future		
T01			human_life	

A group of sentences like the above example is already too complex to be conceived as a whole. We must focus on one sentence after another in order to apprehend their connections. Later we will use *linguistic gestalten* as parts again to build still hierarchically higher wholes of meaning. We want to combine linguistic gestalten to form more complex *linguistic hypergestalten*. Therefore we must now reduce the complexity of the above text group. We represent the linguistic gestalt by a summary, one short statement or a few very short ones. It is a kind of condensation which allows further processing of the central ideas of the linguistic gestalt. The summary also is called "selective representation." The formation of the summary again is governed by rules:

e) *The formal rule for selective representations*: For the condensation of contents, as a rule we use the key expressions occurring more than once in the text group, i.e.: *The nodal expressions of the text group are the key expressions of the selective representation*.

With rule (e) we presuppose that the contents of linguistic gestalten are determined primarily by concepts occurring as nodal concepts in the text-group. In our case, the nodal concepts occurring more than once in the text-group and used as key-concepts to form the summary are: *interference\_with\_nature*, *ignorance\_of\_consequences*, *genetic\_manipulation*, *ethical\_limits*, *medicine\_reproductive*, *problem\_future*, *food\_gen\_manip*, *human\_life*, *children*.

As a semantic rule the following must be fulfilled by the summary:

f) *The semantic implication-rule*: In every situation (example, model) in which all the sentences of the text group are true, also the summary must be true, *i.e. the selective representation must follow as a semantic implication from the text group*.

Finally a pragmatic rule must be fulfilled by the selective representation, i.e. the summary:

g) Pragmatic applicability: The selective representation should be relevant for a person x in the situation S and the point in time t in the sense that x believes in S at t that the summary is applicable as perception-, orientation- or action patterns in a possible new situation.

Using the above sentences about the topic *interference\_with\_nature*, we create a summary and call this the *selective representation* of the corresponding linguistic gestalt. It runs as follows:

An important ethical problem in medical science are the ethical limits of interfering in natural development and reproduction, which will become a bigger problem in the future. Modern technology is replacing nature, for example the genetic manipulation of embryos (deciding the characteristics of children), human beings or food. The question is how far man can intervene in life and nature without destroying the balance of nature.

If all the above rules (a) - (g) are fulfilled, then the text group together with the summary form the linguistic gestalt.

interference with nature



Figure 11; The linguistic gestalt "interference with nature" formed by 6 text units and their selective representation.

In order to obtain a coherent and holistic representation of the verbal database, all responses must be compressed into hierarchically structured text groups and represented in the form of a *gestalten tree*. This is a hierarchical order of texts generated step by step from bottom to top by the GABEK method in a way such that each text on a higher level follows logically from a text group on a lower level. *This leads to the result that linguistic gestalten always have a deep structure (the substantiating text group) and a surface structure (the summarizing text).* 

#### 6. THE HOLISTIC REPRESENTATION OF VERBAL DATA IN THE GESTALTEN-TREE

According to the above procedure, the 241 answers of different patients in the Netherlands

where condensed in the subprogram *editing Gestalten* is to 23 linguistic *gestalten* and to summaries of them. These again are used to form *hypergestalten* - according to the same rules of gestalt-building: when all text groups have been processed in this way, a set of summaries (selective representations) of text groups will be available to which the cluster analysis is again applied. The summaries of gestalten form text groups on the next higher level. For instance our selective representation of *interference\_with\_nature* was combined with six other summaries of linguistic gestalten to the hypergestalt *problem\_future* (see figure 12).

When all the text groups on this level are transformed into *hypergestalten* then we do the same recursively on the next higher level to form *hyperhypergestalten*.

The whole structure is called *gestalten-tree*. It can be seen as an ordered overview of all the verbal data.

As in a puzzle, sections (in *WinRelan* statements, i.e. responses) are arranged into a pattern. These patterns – (in *WinRelan* thematically interconnected text groups, which due to the fulfillment of the semantic, syntactic and pragmatic rules, are called linguistic gestalten – see chapter 5) – again serve as puzzle pieces for the construction of even larger patterns. The large patterns (linguistic hypergestalten), which result from this information-compressing process, are finally joined to form an overall view (gestalten tree).

On the highest level of the gestalten tree for our project, the most important results of the investigation are summarized in two short texts. These are general trends similarly expressed in many interviews.

If we look at the opinions of the patients, we will find the following two texts, which can be regarded as total summaries, on the 4<sup>th</sup> level of the hyperhypergestalten. If someone who has only a minute to spare asks for the result of the project, he can be given an answer with the aid of the following two texts, representing the results of the GABEK-analysis still in object-language as stated by respondents:

#### Hyperhypergestalt *future\_problem*

An important ethical problem for the future is genetic manipulation. The technological development (advances) in medicine and medical science (medical research) could create new ethical problems in the future.

#### Hyperhypergestalt *treatment\_decision*

Morally incorrect behavior in the doc-pat relationship occurs if the doctor withholds information about the patient's treatment. It should be within the autonomy of the patient to decide about his/her own treatment (pat\_desire).

We open the subprogram Gestalten tree). There the resulting hierarchical structure of our gestalt building operations appear. We click on the icon and select the term



<u>problem\_future</u>. Then all the texts are marked in dark green color where the concept *problem\_future* occurs.

Fig. 12: Gestalten tree with marked texts about the topic problems\_future. One is able to cut out parts of the gestalten tree according to any key concept (as for instance the green part of our gestalten tree).

In the gestalten tree we find the two texts on the left side. By clicking on the appropriate fields, the corresponding text is displayed.

If someone asks for a *justification* for these two texts, then one will go to the lower level of the gestalten tree. There the hypergestalten are situated, which describe the individual ranges of topics in greater detail. The justification of the first Hyperhypergestalt *future\_problem* through three hypergestalten reads as follows:



*Fig. 13: Foundation of the Hyperhypergestalt* future\_problem *through three summaries of hypergestalten* 

#### Hypergestalt problem\_future

An important ethical problem in the future is genetic manipulation. Also, because progressive medical development and medical research interfere with nature creating the "perfect man" more and more. This can increase ethical problems. The consequences of this kind of medical research should not be ignored.

#### *Hypergestalt state\_control*

The government or the State should stipulate (restrictive) laws regarding the ethical problems in medicine. The ethical problems should be a part of public discussion too. The government has to control certain medical research like for example genetic manipulation, which could become a bigger ethical problem in the future but they should also support medical science or research financially.

#### Hypergestalt medical\_advances

Because of the technological progress (advances) in medicine and medical science development, new ethical problems will increase in the future. There will be more possibilities to prolong life and the question is how far we should go with this kind of treatment.

Below these texts there are the gestalten which justify the hypergestalten. Here ranges of topics from the analyzed data supporting the hypergestalt *problem\_future* are represented in detail.



# *Fig. 14: Foundation of the text problem\_future (hypergestalt) through three summaries of gestalten*

#### Gestalt genetic\_manipulation

Genetic manipulation will be an important ethical problem in the future. In medical research experts are trying to map out the human gene picture. On the one hand, it'll help with incurable diseases but on the other hand it could be manipulated (for making "perfect" humans) and become commercial.

#### Gestalt interference\_with\_nature

The interference of medical science with the natural development and the reproduction of nature will become an important ethical problem in the future. Modern technology replaces the nature, for example genetic manipulation of embryos (deciding of their characteristics), of human beings or of food. The question is how far man can intervene in life and nature and where the ethical limits are (so as not to destroy the balance of nature).

#### Gestalt animals

Animal experiments and genetic manipulation with animals are ethical problems. The interference with nature or human beings is an ethical problem too and will increase in the future.

Gestalt use\_of\_pat\_for\_research

Sometimes medical doctors use information for research or experiments. In doc-patrelationships, for medical research, the doctor has to ask the patient for permission to use the patient for research or for drug tests. The problem which could increase in the future is that medical research must be controlled in order to reduce the interference with nature.

Gestalt medical\_research

Medical research, as for example genetic manipulation, could produce ethical problems. In the future ethical problems will increase. They should be a part of public discussion. The government should make decisions about research too and support it financially. The consequences of this kind of medical research should not be ignored.

Gestalt increase\_in\_eth\_problems

Medical development (advances in medicine) will increase (even more) the ethical problems in the future. Some of them will be: the possibility of genetic manipulation, "computer medicine" (people as numbers), xenotransplantation, the artificial lengthening of patients' lives, the artificial giving of life, the use (abuse) of secondary prevention.

Gestalt perfect\_man

One of the ethical problems in the future is cloning by genetic manipulation. People are trying to be smarter than God and they'll try to make their image more beautiful than it already is - a perfect man.

On the level of gestalten again we can *ask for justification*. In such a case we click on the corresponding field of the gestalt (on the right hand of figure 12, for example on the gestalt "animals"). Then the original answers of the respondents appear, which are the premises from which the summary of the gestalt is deduced.



Fig. 15: Original answers which are summarized in the gestalt animals.

So the gestalten tree is to be understood as a deductive system of texts. Each level of texts supplies a more or less complex representation of the total situation. All summaries are formulated in the everyday-language of the respondents. This theoretically founded procedure makes it possible for the people concerned to find themselves within a complex opinion network and thus understand themselves and other people better. *Therefore GABEK is a learning procedure*.

In the GABEK procedure, a description of the phenomenon under investigation thus takes place on different levels of complexity. If the reader of a GABEK project report wants an overview of the results, he can look at the texts on the highest levels. If he however wants to have detailed answers to questions, he will go on to deeper levels. This is because each text of the different levels, except the level of the verbal data, is a summary which was created by the analyst in accordance with clearly defined rules and which can logically be derived from the texts on which they are based.

The order is a fractal one, as all textgroups on all levels fulfill the same formal rules and the higher levels of the gestalten-tree refer to the same topics in a holistic guise as the lower ones. We took *sentences* as relations between key expressions, *gestalten* as relations between sentences, *hypergestalten* as relations between gestalten, etc. Each hypergestalt is then a formal net of nets of nets of key-concepts. Its nodal concepts are also concepts on all the lower levels. Therefore we obtain a self-similar structure.

The gestalten-tree is now our linguistic map<sup>5</sup>. Clicking on the names of gestalten, hypergestalten or hyperhypergestalten, we get the summarizing texts. If we want an explanation of any topic we read the corresponding texts on the lower level. Thus by clicking on any field each text of the gestalten-tree can be explained by texts containing more details.

The highest statements are more general, the lowest more specific and complex. The highest texts further our understanding in many situations; the lowest are more informative for concrete problem solving and decision making.

The highest provide an overview of the whole and are networked in the wide linguistic context. They are interrelated with the complete verbal data base. The lowest show strong emotional loads. Therefore they are more interesting for motivation. According to the specific goals of data presentation, we can switch from top down to bottom up.

#### 7. THE REPRESENTATION OF ASSESSMENTS BY EVALUATION LISTS

Normal linguistic texts first of all contain descriptions. Furthermore, common language expressions contain prescriptive judgments, and thus value judgments and norms. In order to represent prescriptive judgments of a verbal database clearly, apart from the basic coding, evaluation coding is necessary. This requires that we arrange the features, states, situations, actions, processes, which were evaluated positively or negatively by the respondents, in the form of lists.

In our example we will answer only the question: which real phenomena, attributes, states, situations, actions are expressed and how were they evaluated by the respondents?

Here we show parts of lists of exclusively positive, predominantly positive, exclusively negative and predominantly negative evaluations contained in the verbal data of patients.

The sign "+" indicates positive evaluations of actual objects, attributes, states, situations; the sign "-", negative ones. Neutral assessments are not displayed here. The indices on the right hand of the lists refer to the corresponding statements in the responses of patients. By clicking on any of them, the corresponding original text appears. The proportion of positive and negative evaluations shows that the topic "ethics in medicine" is judged as a serious problem.

#### Actual situation

#### Positive

		control_state	E15 H08 J04 J07
		medicine_preventive	C01 F08
		donation_of_organ	C06 C07
		responsibility_state	S15 S16
		Communication	E09 P09
		ethics_committee	J05 J07
+	2	med_point_of_view	F04 I02

<sup>&</sup>lt;sup>5</sup> Rudolf Wille in his lecture "Conceptual Landscapes of Knowledge: A Pragmatic Paradigm of Conceptual Knowledge Processing" at the International Conference *Conceptual Knowledge Processing* (Darmstadt, February 1996) explained the chief scientific activities using the metaphor of a landscape with our activities in it (exploring, searching, recognizing, identifying, analyzing, investigating, deciding, improving, restructuring, memorizing). As examples he pointed at various projects in the field of *Formal Concept Analysis*.

#### + 2 private\_hospital

+ 2 euthanasia\_active

G02 G08 F04 H04

#### Predominantly positive

+	10	state_restrictive	C10 D11 E14 E15 H08 I05 J05 K07 L09 S13
-	1	"	Q07
+	4	Medics	F03 G02 G04 G08
-	3	"	E08 S01 S07
+	4	decision_doc	M06 S05 S08 S09
-	1	"	M05
+	3	restore_to_health	I04 J06 K06
-	2	"	H02 H10
+	2	contact_to_pat	E09 P09
-	1	ű	E10
+	2	euthanasia_passive	T09 T11
-	1	"	Q02
+	2	Guidelines	C10 H08
-	1	"	Q07
+	2	public_discussion	D11 P12
-	1	ű	E02
+	2	Treatment	E09 K06
-	1	"	E07

#### Negative

-	13	interference_with_nature	A03 A04 A13 B04 H01 H02 H06 H07 H10
	~		L02 R02 R03 T02
-		withhold_information	D05 E08 F05 J02 L05 M05 P07 R07
-	7	cloning	A03 A04 A13 B12 F01 S02 S03
-	7	coma_pat	T06 T07 T08 T09 T10 T11 T18
-	7	use_of_pat_for_research	H06 H09 L06 M01 M02 T03 T04
-	6	conflict_of_interests	C05 H03 H04 P06 Q02 S05
-	5	politicians	A11 F14 F15 F18 F19
-	5	gods_in_white	D06 O05 P09 R05 T14
-	5	ignorance_of_consequences	A04 B04 E14 F13 H07
-	4	medicine_repairing	C01 C02 C03 C11
-	4	advances_in_medicine	B08 L07 T01 T17
-	4	treatment_error	E04 E07 E08 E10
-	4	economy	B03 B11 S15 S16
-	4	animal_experiment	B04 B12 O01 O02
-	4	life_prolongation	103 L07 L08 T18
-	4	lack_of_drugs	G01 G03 G09 G12
-	4	over-treatment	T02 T04 T06 T23
-	3	pollution	B09 B10 B11
-	3	dementia	A09 M02 M03
-		neglect_pat	H04 O04 T04
-	3	complaint	G03 G07 L08
-	3	time_pat_has_left	I04 T03 T05
-	3	interest_research	M01 T03 T04
-	3	operation	C01 C03 F16
-	3	troublesome_pat	G03 G04 G05
-	3	prenatal_diagnosis	H01 H10 K05
-	3	concealment_of_research	S02 S03 S12
-	3	perfect_man	F01 J01 L02
-	3	abortion	H01 H02 K05
-	3	technical_jargon	D05 D06 R07
-	3	raise_pat_hope	S10 T04 T14
-	3	experts	F17 T15 T16
-	3	doc_working_as_commercial_company	C08 F16 S01

Predominantly negative

+ -	3 12	genetic_manipulation "	B02 E16 K06 A03 A04 A13 B04 B08 B12 D08 J01 R01 R02 R03 S12
+ - + - + - + -	6 9 1 2 1 2 1 2 1 2	Government " Death " Legislation " pat_desire " refusal_to_fulfill_desire_of_pat	D11 H08 I05 S13 S15 S16 A11 B11 F14 K07 O09 O10 Q07 T19 T21 I03 E04 E06 E15 T19 T21 H04 S09 S10 S09 C05 H04
Sta	tistics	3	
Eva	luatio	ons overall:	595 100.0 %
of v	which	n positive negative neutral	105       17.6 %         318       53.4 %         172       28.9 %

Finally, it should be added that in the interviews no particular evaluations were requested. Rather, all evaluations were expressed spontaneously by responses to open interview questions. The evaluation lists show the values of Dutch patients at the time of the questionnaire which are related to ethical conflicts in medicine. These values may refer indirectly to their weltanschauung.

The evaluation lists are an interesting means to compare the desires and concerns of different groups – possibly conflicting groups.

#### 8. THE REPRESENTATION OF CAUSAL ASSUMPTIONS BY NETWORK GRAPHICS.

Apart from descriptions and evaluations in normal language texts, causal assumptions are also expressed. These are opinions on cause-effect connections, based on empirical experiences or discussions. We can also see the causal assumptions of the respondents as arguments for the reasonable control of our actions.

In order to isolate causal assumptions from the verbal data, the electronically stored texts of each record sheet must be read again and coded in a new way. Expressions which are connected by causal relations are called causal variables or simply variables, since they refer to situations that are experienced as changeable. Of course causal analysis also depends particularly on the question of analysis.

Ordinarily we will ask the question: does the author of the text believe that the growth of the variable A leads to an increase or decrease of the variable B? There are very different normal language statements applicable to such coding, e.g. quantitative relations (in the form of "the more A, the more B"), real relations between cause and effect ("A is a cause of B"), qualitative relations specification ("if A improved, then the quality of B would also increase"), statistical generalizations ("if A, then mostly also B" applies), and many others.

In addition we can distinguish between causal influences which have either a favorable or an unfavorable effect on another variable.

The analysis of causal assumptions leads to complex nets of opinions about influence relations, which can be used in new situations for orientation. A multiplicity of executed projects showed that causal networks which represent hypothetical relations contribute substantially to preparing decision planning in new situations<sup>6</sup>.

Additionally, a color can be assigned to each variable in the causal expression list. Different colors will be assigned to basic values, main goals, subordinate goals, measures, or invariable basic conditions. There are guidelines for this procedure. But the distinction between intrinsic basic values and main goals, lower goals or measures is made by the analyst.

In our project, ethical values were coded in blue, ethical conflicts or problems which may also express aims gray, goals in green, measures in yellow and conditions which cannot be changed in bright yellow.

Now causal assumptions can be plotted in the subprogram *Causal net graphs* automatically. E.g. one can select a variable, for instance problem\_future and ask which other variables would influence (ethical) problems for the future.



Fig. 16: Influence variables relating to problems\_future according the patients

We see that almost only unfavorable influences are presented (red arrows). Besides three black arrows (influences considered ambivalent), the patients express only worldwide

<sup>6</sup> In addition, this type of coding of relations, which we mostly use for the representation of causal opinions, can also be used for other purposes. Principally one can represent each type of two-place relations, e.g. communication relations between departments of an organization or between individuals. Instead of causal variables only names of persons or of departments would be used between which a communication relation of a certain type is assumed, for example when it is being said that there are business relations between two organizations or cooperation relations between individuals.

Furthermore, process plans, for which temporally arranged relations between operations, prerequisites of operations and results of operations are being coded, can be represented on the basis of experiences of employees.

regulations and the government which could reduce problems in the future (green lines with a small circle).

In order to reduce complexity in the causal network as well, we can draw only those arrows which are supported by at least two (or more) text units. If we define the minimum number of sentences as 2, then the following figure 17 results:



Fig. 17: Influence relations relating to problems\_future according the patients, founded on at least two texts. Pollution, genetic manipulation and as an effect of this, interference with nature leads to more problems in the future, which is assessed as negative (red arrows). Beside this, life prolongation can also lead to problems in the future which is seen as an ambivalent influence (black arrow).

As in the linguistic network, we can also navigate through the whole net in order to enlarge the graph.



Fig. 18: A larger causal net based on the variable problems\_future, where each causal assumption is supported by at least two text units. Here we also see a positive influence relation in green color. World wide regulations can reduce pollution (where the reduction of the variables is indicated by a small circle).

In addition to proceeding from problems (*problem future*) to their assumed causes (see above), we can also take the reverse path and proceed from measures to their assumed consequences. So we can ask how the government may influence the situation:



Fig. 19: Possible influences by the government are predominantly positive

Each assumed causal relationship is supported by texts. By clicking on the connecting arrows with the right mouse key, one can immediately read the texts in which the causal assumption was expressed. Graphs as well as texts can easily be transferred and printed out in Word.

## 9. THE REPRESENTATION OF THE ORDER OF COGNITIVE RELEVANCE

We have already come across an abundance of different results. Now the question arises which topics are really important from the view of the respondents. Which results have to be considered under any circumstance? If we want to know what is particularly important and relevant to those concerned, then GABEK supplies unique results. We use three criteria for weighing the topics:

i) A topic A is judged more relevant than a topic B if A reaches a higher level in the gestalten tree. The reason for this criterion is that results on higher levels (hyperhypergestalten or hypergestalten) are applicable in more cases than results on lower ones (gestalten or responses).

ii) A topic A is judged more relevant than a topic B if A is evaluated more often by the respondents as positive or negative than B.

*iii)* A topic A is judged more relevant than a topic B if A is embedded in more causal relations than B, i.e. if A has more effects or is influenced by more causes than B.

A topic which ranks high on all the three criteria is certainly very important in the minds of the respondents.

From this a relevance list is generated, which indicates at a glance which addressed ranges of topics are of special interest to the persons concerned (viz. Zelger 1999c, 2000a).

We open the subprogram *Relevance Analysis*  $\bigtriangleup$  and obtain a list with 5 areas, whereby the highest level of the gestalten tree in which the key concept or topic still occurs is indicated in the 1st column (blue). In the 2nd Column (white) the appropriate term is displayed; in the 3rd to 6th column (green) the number of the positive and negative evaluations is mentioned; in columns 7 to 9 (red) the number of causal relations, and finally, in the last column the assigned colors (color coding) are pointed out.

Gestalt	Expression	Li: of.e		Eval.	Causal relations			Color
Level		+	_	Sum	—>0	0—>	Sum	
HH	genetic_manipulation	3	12	19	7	11	18	
HH	Government	6	9	18	2	9	11	
HH	interference_with_nature		13	16	10	3	13	
HH	state_restrictive	10	1	15	1	4	5	
HH	Information	1		11		2	2	
HH	withhold_information		8	9	2	4	6	
G	medics	4	3	8			0	
HH	paternalism	4	4	8	1	2	3	
G	cloning		7	7	3	4	7	
S	coma_pat		7	7			0	
S	euthanasia		2	7	2	1	3	
HH	med_research	2	2	7	1	4	5	
G	use_of_pat_for_research		7	7	4	1	5	
HH	conflict_of_interests		6	6	5	1	6	
G	ethical_limits	1		6	1		1	
HH	euthanasia_active	2		6	1	2	3	
S	euthanasia_passive	2	1	6			0	
G	guidelines	2	1	6	4	1	5	
HH	life_prolongation		4	6	1	4	5	
S	life-death		2	6			0	
HH	public_discussion	2	1	6	2	1	3	
HH	autonomy	1		5	1		1	
HH	decision_doc	4	1	5	2		2	
HH	financial_support			5		2	2	
S	gods_in_white		5	5		1	1	
HH	ignorance_of_consequences		5	5	5		5	
S	medicine_preventive	2		5		7	7	
S	politicians		5	5		3	3	
G	restore_to_health	3	2	5	3		3	
HH	advances_in_medicine		4	4	2	4	6	
G	animal_experiment		4	4	1	4	5	
G	communication	2		4	1		1	
•			•					

### Relevance list

Fig. 20: The list of relevance for patients

#### **Operations** of selection

complaint

control\_state

S

ΗH

The list can be reduced according to different keys, as can be seen in figure 21. It can be ordered according to the frequencies of the evaluations listed (Bewertungen), the causal listing (Kausalliste) or alphabetically (alphabetisch). Each option must be confirmed by 🛀. In the case of more than one evaluation list, further selection options appear. Both the causal list as well as the evaluation list can be switched off. The values can also be displayed in percents in order to be compared with other project results.

3

4

4

4

2

0

3

When we click on "select color" and we choose e.g. blue then according to our color coding we receive the values expressed by the respondents (as blue is reserved for fundamental values).

Gestalt :	Expression	List	o.eva	.1 Eval.	Cau	usal	relati	Colo
Level		+	- 0	Sum	<b>→</b> O	0→	Sum	
G	communication	2		2 4	1		1	
G	honesty	1		3 4	1		1	
S	listen_to_pat			3 3		2	2	
S	awareness_ethical			2 2	2		2	
S	responsibility			2 2	1		1	
S	responsibility_doc			2 2			0	
G	confidence	1		1	4	1	5	
S	democracy	1		1		1	1	
S	helping	1		1			0	
S	openness			1 1		1	1	
S	respektfulness			1 1		1	1	
S	love			C	1	1	2	
S	responsibility_relatives			C	1		1	
Evaluation	order by: Sums:	Colors				reGest	talten up to	1 1
Evaluation		🔽 Se						1

## Fig. 21: Fundamental values of patients ordered according the number of evaluations

In the same way, we also can select higher aims, goals, measures or fundamental conditions which can be ordered according to our relevancy criteria.

So basic values or main goals, for example, can be selected and represented in *WinRelan* separately (As it is shown in figure 21).

Basic values are important for the development of mission statements and for orientation purposes, main goals serve for the basic adjustment and justification of projects and intermediate objectives and measures are needed for their concrete implementation.

#### **10. Representation of the emotional relevance**

Of course the three criteria of relevance (chapter 9) do not include all aspects of relevance. Besides these cognitive perspectives, the emotional load of verbal expressions has to be taken into account. So we ask the question of how we can represent the mood in which the responses were given.

To analyze the emotional load of the statements [cf. de Mause 1979; Tafertshofer and Zelger 1982] a special kind of fundamental coding is required (cf. chapter 2). We must include as key terms both the emotionally loaded expressions, symbols, metaphors, body language expressions as well as unexpected special and unusual concepts. On the other hand, we do not pay any attention to the rational content which very often hides the emotional content of the statements. We especially omit all the logical operators. As emotional feelings do not have negations, we do not take the negations into account. (According to Freud, the unconsciousness also has no negation: thus if I say: "I do not want to talk about violence", then on the emotional level I still enter the topic "violence.") Furthermore, we do not pay any attention to abstract terms which designate ideals or theoretical constructs.

#### Drawing of emotional graphs

After such an emotion coding, we start the subprogram *linguistic network* and the expression list we select a concept for which we want to display the emotional connotations. Let us choose *medics* (physicians). It is the perspective under which we look at emotions and feelings connected to it. Then we do not display the expressions very often connected to "medics" as we did in the association graph in order to determine contextual meaning of the term. Here we do something different. We select only the emotionally loaded terms connected to our key term "medics." Most of them occur only once or a few times as emotional feelings often are hidden in ordinary language texts. Then for "medics" the following expressions appear in the proximity of the term.



Fig. 22: The emotional graph of Austrian<sup>7</sup> patients in the perspective of "medics." The figure shows topics which ordinarily are not discussed in an explicit way but can still be detected by a deep analysis of the texts.

<sup>&</sup>lt;sup>7</sup> The emotional analysis must be executed using the authentic original text. Now I don't understand Dutch and the English translation of the Dutch texts would change too much of the emotional content. Therefore the example of fig. 22 is taken from the Austrian part of the project where interviews were conducted in German. Only after the emotional analysis were the concepts translated into English.

In order to compare the results of the emotional graph (figure 22) with the corresponding association graph, we display this in figure 23. Here only those terms are shown which are connected with "medics" at least 5 times.



Fig. 23: Association graph of Austrian patients for "medics." The graph shows the topics which are explicitly discussed by many respondents.

We see that the graph of figure 22 has no one term in common with that of figure 23. In the emotional graph (figure 22) regarding "medics" we find groups of interconnected emotionally loaded terms which express much more negative than positive emotions.

We assume that the emotional feelings of the respondents are also perceived in ordinary communication although not fully consciously. For problem solving this is relevant due to the motivating role of emotions. They move to reactions, to measures and action. Therefore, in judging the relevance of proposals, not only the cognitive perspective should be taken into account but also the emotional one.

#### 11. Representation of statistical frequencies

GABEK distinguishes two basic ways of coding: apart from the object-linguistic coding mentioned above, through which the lexically relevant terms are identified, there is the possibility of meta-linguistic coding (as used in other qualitative data analysis software systems). The latter clearly stands out against object-linguistic coding, since relevant criteria or categories which do not have to occur in the text are assigned to the text by the researcher. These can be:

1. Text-related criteria: These are categories to which the contents of a text unit are attributed. Examples of text-related criteria could be: arguments in favor of a measure, arguments against it, economic perspectives, technical features, definitions, theoretical concepts, examples, individual cases, etc.

2. Names of individuals: These refer to the individuals who formulated the text unit (generally anonymously) and were interviewed.

3. Criteria related to individuals: Finally there is the possibility of assigning features to the individuals, e.g. age, sex, education, vocational qualification, etc..

Thus sections of verbal data can be selected or combined arbitrarily according to the criteria - be it raw data or data already processed - and statistics can be produced.

The meta-linguistic coding of all text units permits in the subprogram *Statistics*  $\Sigma$  a direct analysis of the frequencies for all pairs of criteria or for any combinations of criteria, either in absolute values or in percents. The frequencies can refer to persons or records. In the following illustration 24 we refer the specification to persons in absolute values. For this we load the merged document of patients and experts. In this case we cannot find interesting differences which would suggest analyzing parts of the data separately, as there are no interesting criteria to apply.

In other cases, by representing statistical frequencies WinRelan offers an instrument which supports the analysis of different verbal data separately or as a whole.

🗵 Statistics - Ethical Conflicts in Medicine: LaymenH												
Sentences Gestalten Hypergest. HyperHypergest. Summary Overall statistics Criteria Komb.Criteria												
Criterion	Sum	expert	laγman	male	female	20 - 30	31 - 40	41 - 50	51 - 60	61 -	Ne <sup>+</sup>	
expert	20	20	0	9	11	0	0	0	0	0		
layman	20	0	20	10	10	4	2	8	6	0		
male	19	9	10	19	0	2	1	4	3	0		
female	21	11	10	0	21	2	1	4	3	0		
20 - 30	4	0	4	2	2	4	0	0	0	0		
31 - 40	2	0	2	1	1	0	2	0	0	0		
41 - 50	8	0	8	4	4	0	0	8	0	0		
51 - 60	6	0	6	3	3	0	0	0	6	0		
61 - and older	0											
Netherlands	40	20	20	19	21	4	2	8	6	0		
A	1	0	1	1	0	0	0	1	0	0		
В	1	0	1	1	0	0	0	1	0	0		
С	1	0	1	1	0	0	0	1	0	0		
D	1	0	1	1	0	0	0	1	0	0		
E F	1	0	1	1	0	0	0	0	1	0		
	1	0	1	1	0	0	0	0	1	0		
G	1	0	1	1	0	1	0	0	0	0	-	
								Indi	<ul> <li>Gentences</li> <li>Individuals</li> <li>▲ Absolute</li> </ul>			
									er cent	Exit		

Fig. 24: Statistical frequency specifications concerning persons in absolute values. This refers to the merged documents of patients and experts.

#### **12.** The simulation of dialogues

Of course different groups of individuals can hold very different opinions. We will now see how these opinions of opposite groups can be compared.

The sub-program *project comparison* presupposes that a database or several verbal data records have already been analyzed in the form of *gestalten trees*. If this has been done, the program can serve many different functions. It can be applied to look up texts that are *comparable, similar, opposite or contradictory to a determined text unit*. It can be used as *a learning tool, i.e. a tutorial*, for example, when a young employee is to be introduced quickly and effectively to habits, experiences, or the linguistic usage of an enterprise or of a branch

far away from the headquarters. The program is also suitable for the *introduction to a complex theory* of the humanities or social studies, or it can be used as a program for the innovative and unusual *linkage of ideas*. However, the *simulation of dialogues* and the associated management of *conflicts between groups* is intended as the core application of the program *project comparison*. (For the theory of the dialogue see Zelger 2000b).

For this we load the projects of the conflicting groups A and B next to each other into the subprogram "project comparison." For each project three fields are available on the display. In the first field the gestalten tree emerges, which can be more or less unfolded. It covers the whole knowledge that is available about the group A, in the sense of "tacit knowledge." If one opens the gestalten tree and selects a text – on any level of the gestalten-tree – the key terms of the selected text appear in a second field. They represent the "focus of our attention," that is, our conscious contents at the given point in time. Simultaneously, the appropriate text, which contains the key terms, appears in the third field as a product of the basic knowledge (tacit knowledge) and of the (conscious) key terms.

If we want to react to a preceding text of group A with a text of group B, this cannot take place arbitrarily. Rather, a response should contain some key terms that were used in the text of A. Therefore, we define the "area of possible linguistic reactions in relation to a preceding text" as that part of a gestalten tree in which only texts occur that have at least one term in common with the preceding statement.

A small dialogue between patients (group A on the left side) and experts (group B on the right) runs as follows:

#### Group A: patients interference\_with\_nature

An important ethical problem in medical science are the ethical limits to interfering in natural development and its reproduction, which will become a bigger problem in the future. Modern technology replaces nature, for example the genetic manipulation of embryos (deciding of their characteristics), of human beings or of food. The question is how far man can interfere with life and nature (to not destroy the balance in nature).

#### perfect\_man

One of the ethical problems in the future regarding genetic manipulation is cloning. People are trying to be smarter than God and they'll try to make their image more beautiful than it already is - a perfect man.

# Group B: experts

I think there will be [ethical] problems [in the future] regarding reproduction; the selection of genetic material to bring people into the world with as few deficiencies as possible or reproduction totally without the need for a man or woman; turning genetic material into people. That would be, I would think, an unethical use of genetic material [because] I think that is too much an interference with nature.

#### Genetic\_Engineering

An important ethical problem in the future in medical science, through new technologies and genetic engineering, will be cloning (for example the cloning and implanting of embryonic cells or the reproduction of your own cells ) of human beings. The question is if this will be an unethical use of genetic material or not.

The dialogue – produced almost automatically – begins with the summary "interference with nature" of group A. As a first answer an original response U85 of an expert is found in the "area of possible reactions in relation to the preceding text" of group B. Then the dialogue continues by gestalten of the groups A and B. The connection of the four texts as in figure 25 shows that the connection of the four texts fulfils the rules of our chapter 5.



Fig. 25: The connection of the simulated dialogue with four statements builds a new linguistic gestalt.

According to our rules of chapter 5 we form the summary:

For the future ethical problems are expected as effects of genetic engineering and cloning, where reproductive techniques of medicine are used to create the perfect man. This will interfere with natural development and is assessed as an unethical use of the genetic material of patients.

The summary together with the four texts result in a new linguistic gestalt.

The summary of the text units connected to a gestalt as result of the dialogue is then the content which was learned by the two dialogue partners. I believe that dialogue is always the basic form of learning. The software *WinRelan* GABEK offers a procedure by which it is possible to simulate dialogues also for people who cannot meet each other or who are not prepared to come together. GABEK can be seen as an instrument to initiate real dialogues too. As experience shows, even in opposing groups there are often contents on which they agree. This is emphasized when striving for conflict resolution. Such discussions can be summarized as a consensual result according to the rules of the linguistic gestalt formation [Zelger 1999a; 1999b].

Basically, when there are conflicts between two groups, each group will at first be presented with their own results. In a second step one will demonstrate the points of agreement of both parties. It is not until later that one would also try to show each party the opinions and arguments of the other party, so that they learn to understand each other mutually. Such procedures have already contributed to conflict resolutions in difficult situations [examples see in Pothas & De Wet 1999; Zelger 1999c].

## **13.** CONCLUSION

In conclusion I would like to cite a few sample projects to indicate the variety of applications of GABEK.

GABEK has been used for evaluation purposes (of the Italian Ground School Reform in South Tyrol), for quality control (in a hospital), for product evaluation (of vehicles), conflict resolution (in South African Industries); furthermore there have been projects on goal development (of a university), minority research (in South Tyrol) and town research (Tepito in Mexico City). Acceptance research in Georgia, Holland and Germany, intercultural management in Thailand, China, Brazil, Europe and market research in South Africa and Austria have also been amongst the standard applications. Projects on organizational and staff development and innovation research are also in the core research area of GABEK. Finally, there were a number of projects on medical ethics, clinical psychology, sports medicine, school didactics for different fields, personality psychology and research on marginal groups. In summary, we recommend that in complex problem situations one does not proceed by deciding on certain suggestions but rather uses the recent experiences of all the colleagues and of those affected. Only after this step should experts develop the problem. Planning thus becomes easier. Due to the simple method of obtaining information (some open-ended questions) and the relatively few hours of expert advice, the expenses incurred with GABEK are less than those of conventional methods. The great advantage lies not only in the holistic and more comprehensive view of the situation and the more realistic evaluation of the consequences, but also that those affected are included in the planning process, whether they are municipalities, offices or people in business. The possibility of introducing authentic opinions is taken seriously. A plan is justified by the authentic quotations of colleagues and others affected. Many individuals are able to identify with the plans and are therefore ready to support their realization.

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Josef Zelger, University of Innsbruck, Department of Philosophy – Section Knowledge Organization, Innrain 52, A-6020 Innsbruck

> Josef.Zelger@uibk.ac.at www.GABEK.com